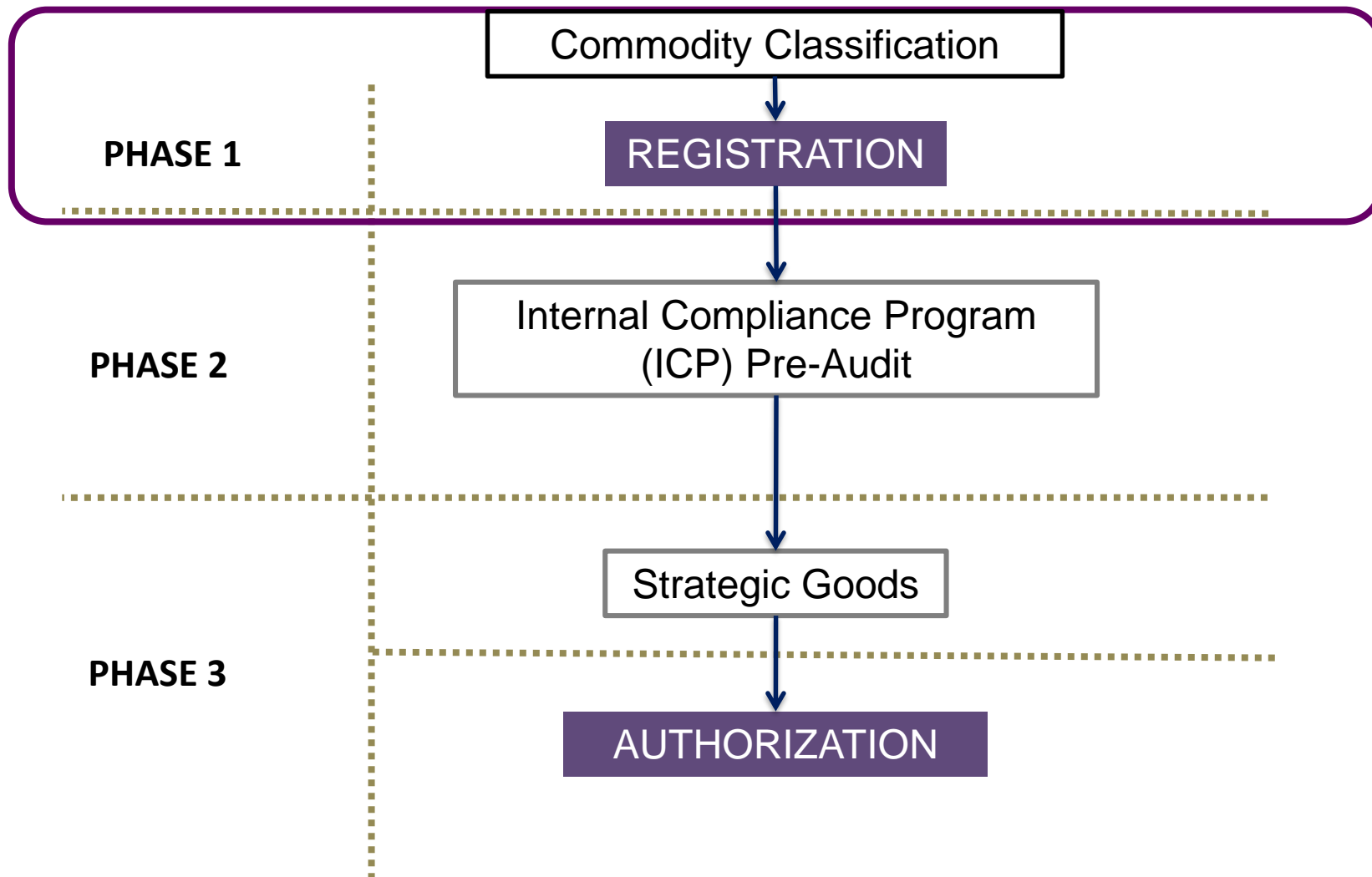




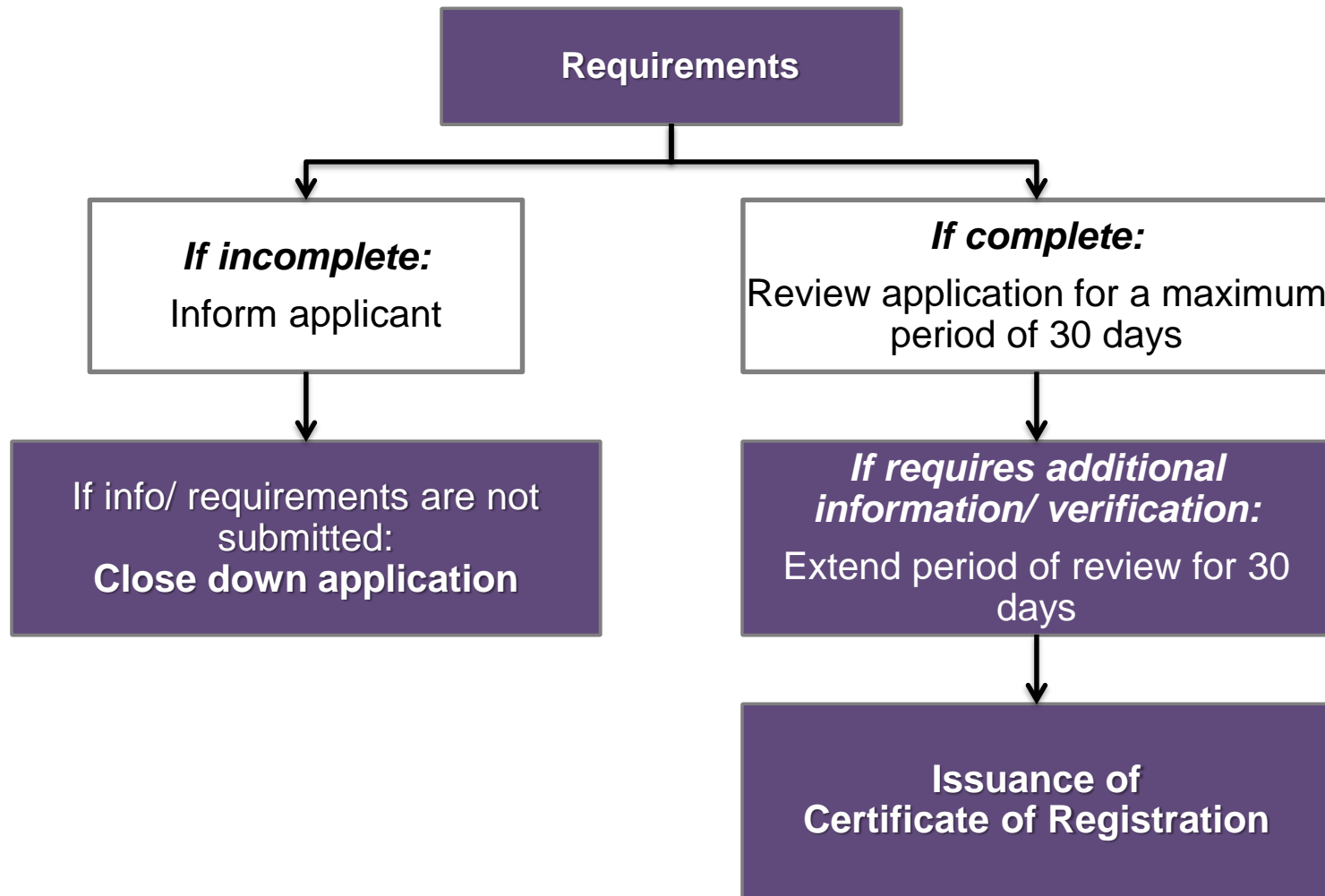
STRATEGIC TRADE MANAGEMENT ACT

REGISTRATION & LICENSING PROCEDURES

Regulatory Process Overview



Registration Procedures



Sample Registration Form



Republic of the Philippines
Department of Trade and Industry

APPLICATION FOR ENTRY INTO REGISTER

| | | | | | |
|---|---------------------------|--|---|---|--|
| STMO Registration No.: <i>(For official use only)</i> | | Application Date: <i>(dd/mm/yyyy)</i> | | Type of Application: <input type="checkbox"/> New <input type="checkbox"/> Amendment | |
| PERSON TO BE REGISTERED | | | | | |
| Name/ Business Name: | | | | | |
| Organizational Type: <input type="checkbox"/> Individual <input type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Others _____ | | | | | |
| SEC/ DTI Registration No./ Passport No.: | | | Date of Incorporation/ Registration/ Issuance: <i>(dd/mm/yyyy)</i> | | |
| Address: | House/Building No. & Name | | Street | Barangay | |
| | City/ Municipality | | Province | Country | |
| Telephone No.: | | | Fax No.: | | |
| E-mail address: | | | Website Address (if available): | | |
| Business Activities: <i>(Check more than one classification, if necessary)</i> <input type="checkbox"/> Manufacturer <input type="checkbox"/> Exporter <input type="checkbox"/> Importer <input type="checkbox"/> Others _____ | | | | | |
| CONTACT PERSON | | | | | |
| Name: | First/ Given Name | Middle Name | Last Name | Suffix (e.g. Jr., Sr., I, II) | |
| Address: | House/Building No. & Name | | Street | Barangay | |
| | City/ Municipality | | Province | Country | |
| Date of Birth <i>(dd/mm/yyyy)</i> : | | | Designation/ Department: | | |
| Place of birth: | | | Government ID Number: | | |
| Telephone/ Mobile/ Fax No.: | | | E-mail address: | | |
| PERSON RESPONSIBLE FOR STMA COMPLIANCE | | | | | |
| Name: | First/ Given Name | Middle Name | Last Name | Suffix (e.g. Jr., Sr., I, II) | |
| Address: | House/Building No. & Name | | Street | Barangay | |
| | City/ Municipality | | Province | Country | |
| Date of Birth <i>(dd/mm/yyyy)</i> : | | | Designation/ Department: | | |
| Place of birth: | | | Government ID Number: | | |
| Description of commodities and/ or services | NSGL Code | Countries of destination | Estimated number of export contracts per annum | Estimated number of export shipments per annum | |

Information on the individual or juridical person to be registered

Information on the contact person

Information on the person responsible for STMA compliance

Information on commodity description, NSGL Code, countries of destination, et. al.

Registration: Assessment Criteria



Concealing of any material fact or submit misleading information or forged documents



Violation of a international embargo or sanction binding on the Philippines

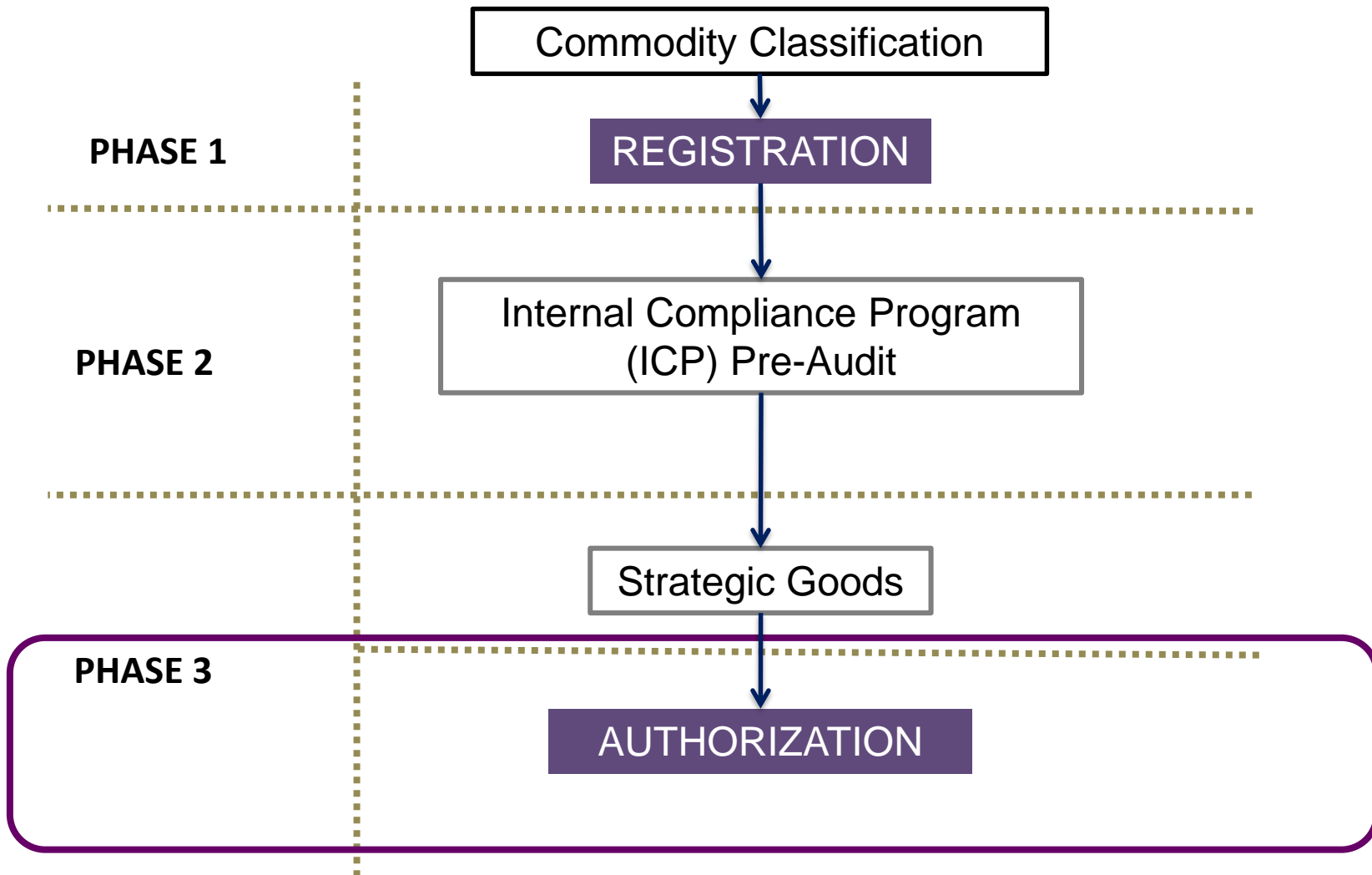


National Security related civil or criminal proceedings have commenced against the applicant



Any other national security concerns that may exist

Regulatory Process Overview



Individual Authorization



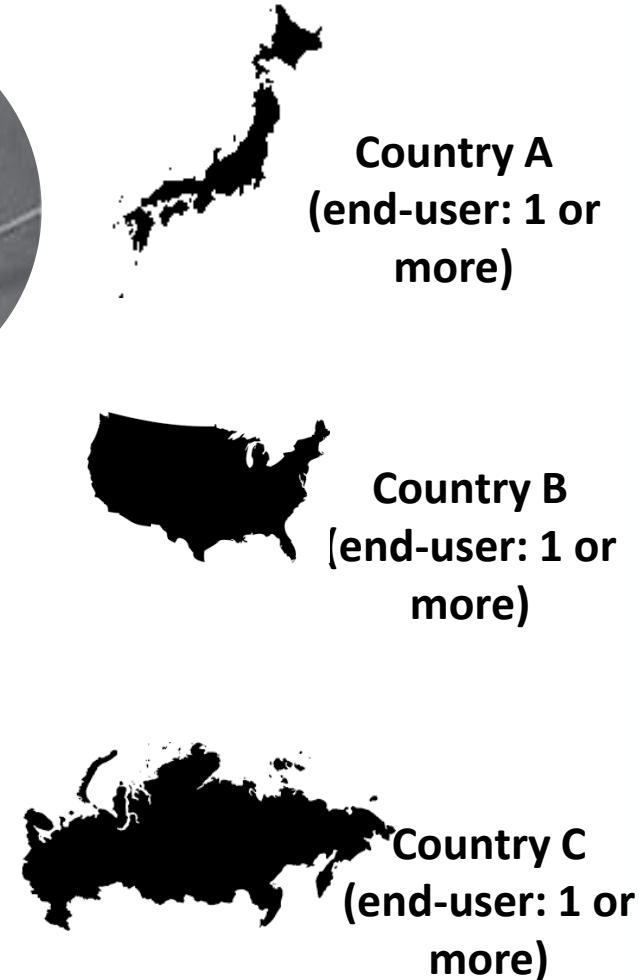
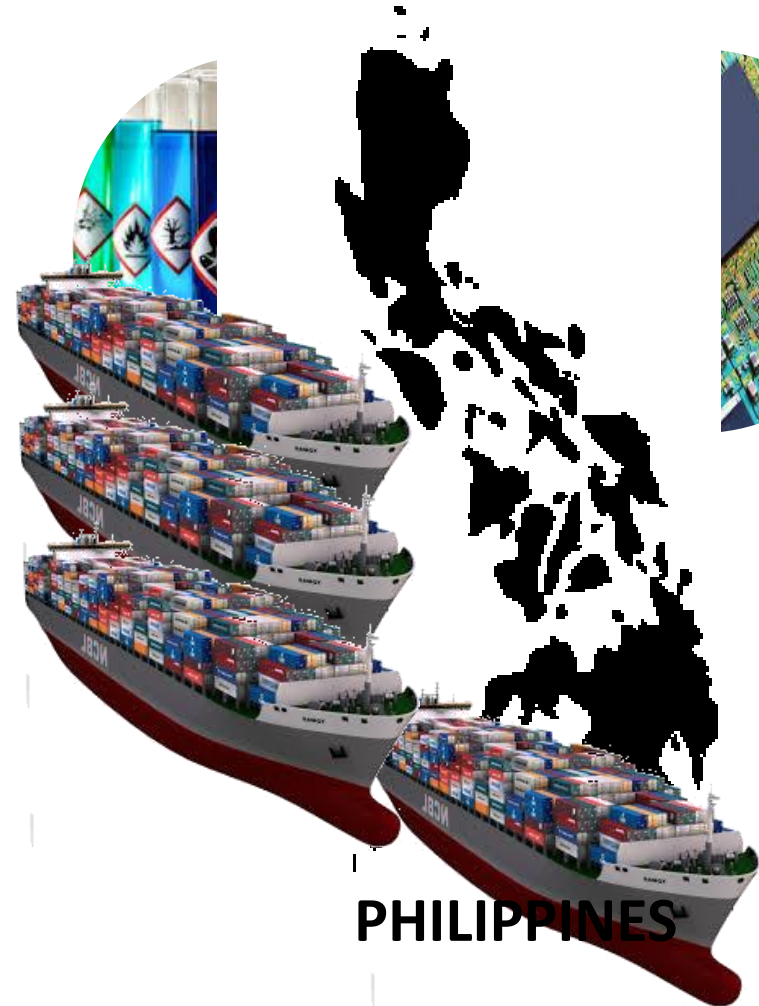
PHILIPPINES



**Country A
(end-user: 1)**

VALIDITY: 2 YEARS

Global Authorization



VALIDITY: 5 YEARS

General Authorization

**LOW RISK
GOODS**

**LOW RISK
COUNTRY**

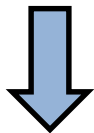


VALIDITY: LIFETIME OR UNTIL REVOKED BY STMO

Authorization Requirements



INDIVIDUAL



**Commodity
Classification**

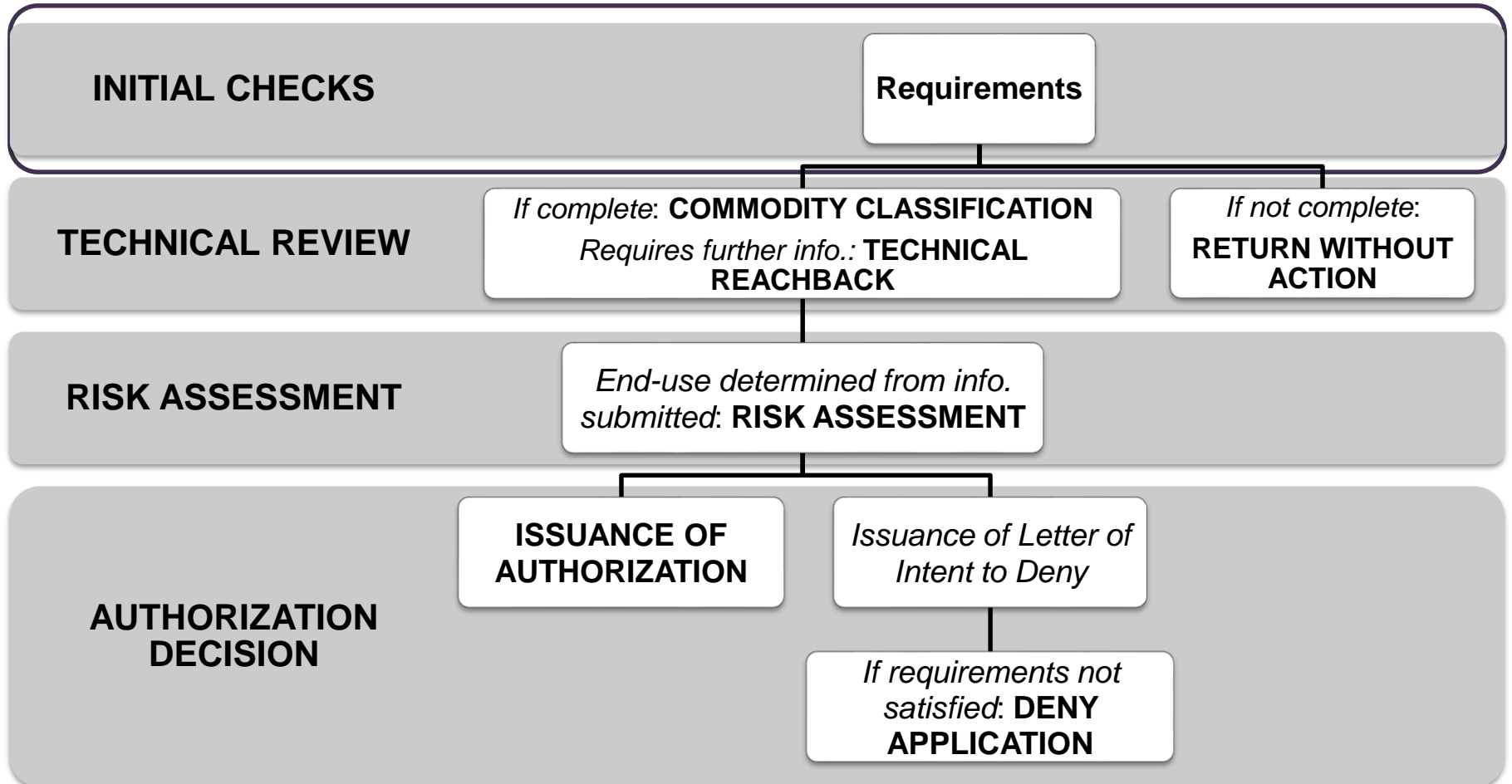


GLOBAL

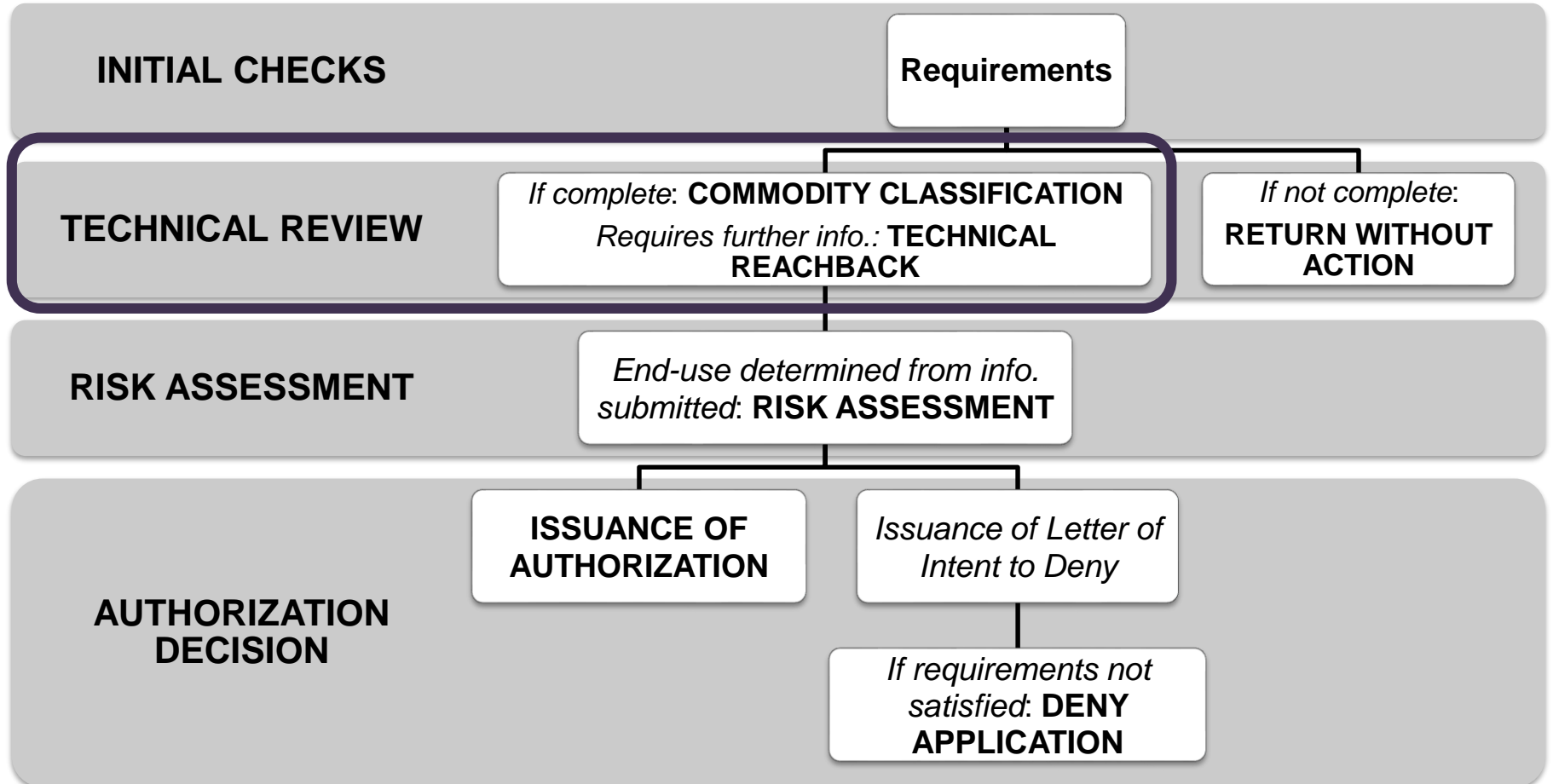


**Commodity
Classification
+
Internal
Compliance
Program (ICP)**

Authorization Procedures

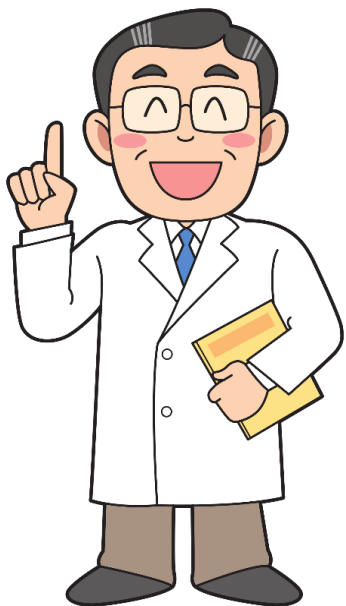


Authorization Procedures



Technical Reachback

Technical Expert's Advice



TECHNICAL REACHBACK



DOST



DENR



DOH



DND



DICT



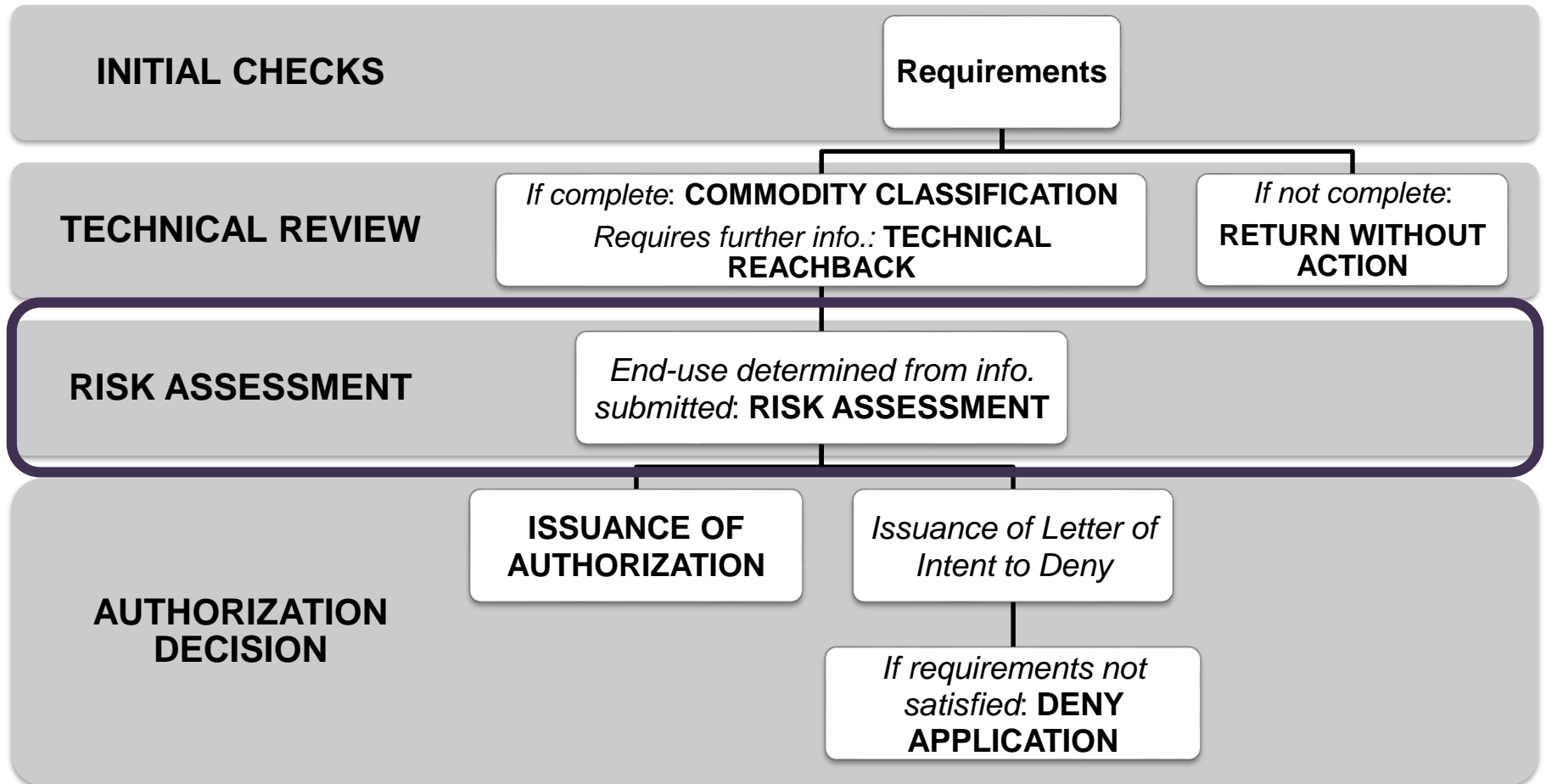
PNP

Laboratory Testing



STMA IRR: Time that elapses during the request of information from OGAs will not be counted in processing time frame.

Authorization Procedures



RISK ASSESSMENT CRITERIA



Terrorism or organized criminal activities



Preservation of regional peace, security and stability



International tensions or armed conflict in the destination country



Human rights and international humanitarian law



Commitments under UNSC sanctions, relevant international treaties and non-proliferation agreements



Security interests of a country which is an ally to the Philippines



Technical capacity of the recipient country



Submission of false documents, made misleading information and concealed material facts

RISK ASSESSMENT CRITERIA



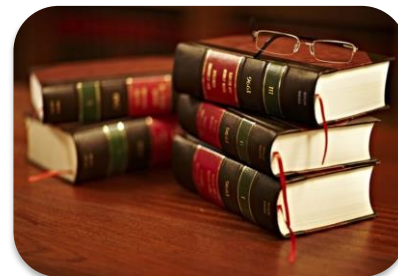
Risk of illegal end-use or by a restricted party



Risk of diversion to an unauthorized end-user or end-use



Criminal or civil proceedings related to national security have commenced against the applicant



Violations of STMA and its IRR



Goods are under a police investigation or court proceedings



Violation of an international embargo or sanction binding on the Philippines



Other national security or foreign policy reasons

RISK ASSESSMENT



DTI



DFA



DND

Provides information on all risk assessment criteria



NSC



NICA



OSETC

Provides additional intelligence reports on the different risk assessment criteria



PNP



NBI



**PCTC-
Interpol**

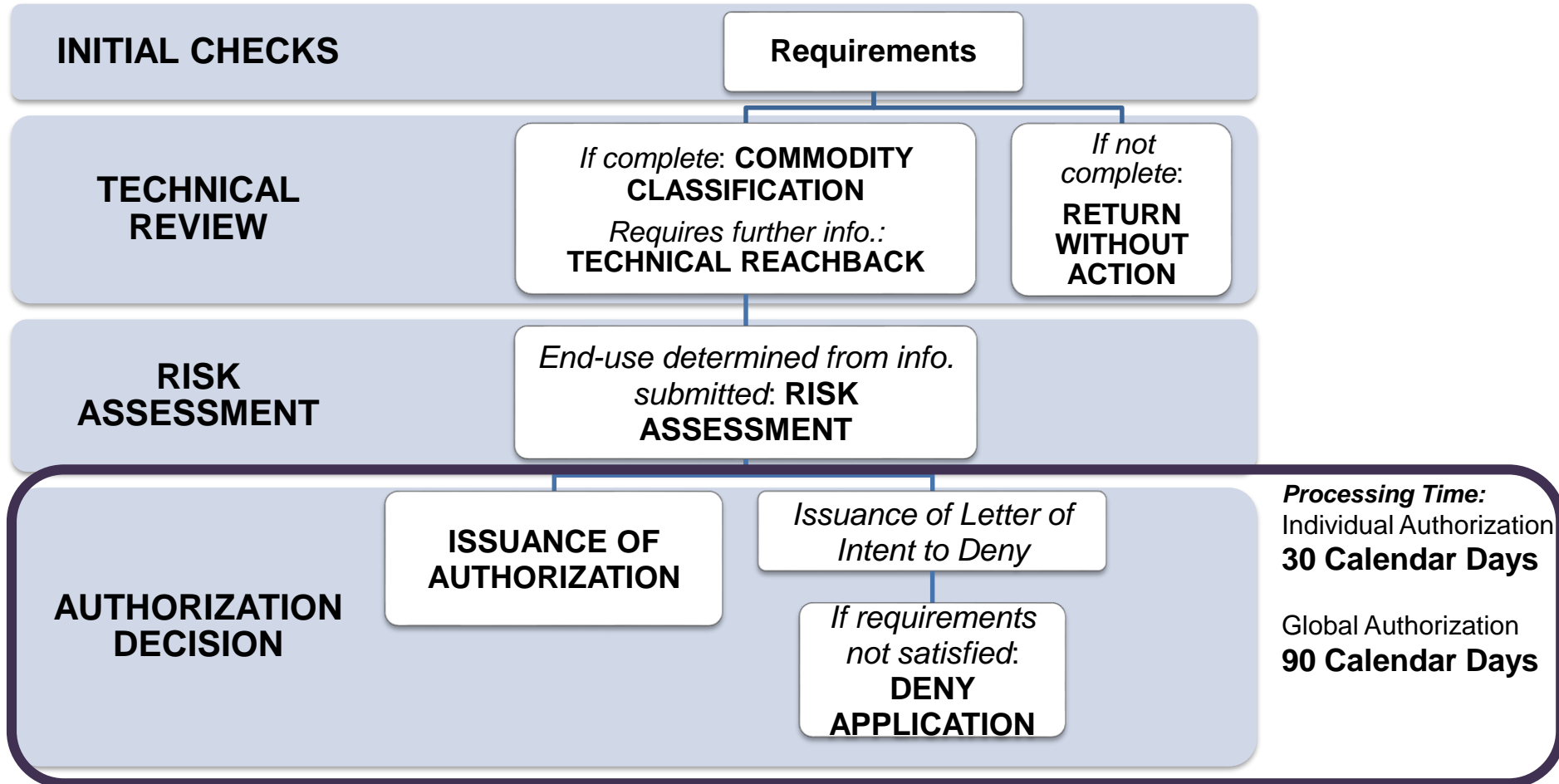
Customer/ Purchasing agent:

- Reluctant to offer information or evasive about the end-use of the item
- Willing to pay cash for a very expensive item
- Little to no business background
- Unfamiliar with the product's performance characteristics but still wants the product
- Unclear about whether the purchased product is for domestic use, for export, or for reexport
- Routine installation, training, or maintenance services are declined by the customer

Product

- Capabilities do not fit the buyer's line of business
- A freight forwarding firm is listed as the product's final destination
- The shipping route is abnormal for the product and destination
- Unusual shipping, packaging, or labelling arrangements are requested
- Unusual requirements for excessive confidentiality about final destinations, or customers, or specifications of items

Authorization Procedures



Thank you!

Connect with us at:

 [**stmoinfo@dti.gov.ph**](mailto:stmoinfo@dti.gov.ph)

 [**dti.gov.ph/business/strategictrade**](http://dti.gov.ph/business/strategictrade)